THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: SOLOMON=1R

In re Application of: ) Conf. No.: 3910

Beka SOLOMON ) Art Unit: 1647

Appln. No.: 09/441,140 ) Examiner: C. Nichols

Filed: November 16, 1999 ) Washington, D.C.

For: PREVENTION OF PROTEIN ) August 9, 2004

AGGREGATION

## INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

## Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- [X] 1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed:
- [ ] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- [ ] B. before the mailing date of a first office action on the merits or before the mailing of a first Office

. . . . . . . . .

. In the Eppin. No. 09/441,140

action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

- [ ] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "ii" below).
  - [ ] i. Counsel certifies that, upon information and belief, each item of information listed herein was either
    - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
    - [ ] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of this IDS.
  - [] ii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035.
- [X] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant(s) state as follows under 37 CFR §1.97(e) for consideration of this IDS, that, upon information and belief, each item of information listed herein was either
  - [ ] (a) first cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this IDS; or

[X] (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of this IDS.

Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in §1.17(p), presently believed to be \$180.00 is enclosed. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035. If the request for withdrawal of finality filed on even date herewith is granted, then refund of this fee is respectfully requested.

- [X] 2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form PTO-08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.)
- [] A. Document(s) \_\_\_\_\_\_ is (are) deemed substantially cumulative to document(s) \_\_\_\_\_\_, and, in accordance with §1.98(c), only a copy of each of the latter documents is enclosed.
- [ ] B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

Applicant(s) identifies these documents by attaching hereto copies of the forms PTO-892 and PTO-1449 from the files of the prior application(s) or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with §1.98(d). Per 37 CFR §1.98(d),

copies of these documents need not be filed this application. [] 3. Document(s) \_\_\_\_\_ is (are) not in the English language. In accordance with §1.98(a)(3), Applicant(s) states: [ ] An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed. [ ] A concise explanation of the relevance of document(s) \_\_\_\_\_ is found in the attached search (see reply to Comment 68 in the preamble to the final rules; 1135 OG 13 at 20). [ ] A concise explanation of the relevance document(s) is set forth as follows: (insert concise explanation of relevance) [ ] A concise explanation of the relevance of document(s) \_\_\_\_\_ can be found on page(s) of the specification. [ ] A concise explanation of document(s) \_\_\_\_\_ can be found on the attached sheet. [X] 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20). [ ] 5. Other information being provided for the examiner's consideration follows: 6. In accordance with 37 CFR §§1.97(g) and (h), filing of this IDS should not be construed as a the representation that a search has been made or that information cited is, or is considered to be, material to patentability as

defined in §1.56 (b), or that any cited document listed or

attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK

Attorneys for Applicant(s)

By:

Roger L. Browdy

Reg. No. 25,618

RLB: jab

G:\BN\R\ramq\Solomon1R\Pto\IDS6.doc

624 Ninth Street, N.W., Suite 300

Washington, D.C. 20001-5303

Telephone: (202)628-5197 Facsimile: (202)737-3528

O.P	E COS
. AUG O S	OFFICE AND A
PATENTS T	ADD Statute for t

tute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

heet	1	 of	1	

Complete if Known				
Application Number	09/441,140			
Filing Date	November 16, 1999			
First Named Inventor	Beka SOLOMON			
Group Art Unit	1642			
Examiner Name	G. Bansal			
Attorney Docket Number	SOLOMON=1R			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6,743,427	B1	Schenk	06-01-2004	
	AB	6,750,324	B1	Schenk	06-15-2004	
	AC	6,761,888	B1	Schenk	07-13-2004	

FOREIGN PATENT DOCUMENTS								
		Foreign Patent Number			Name of Batastas or Applicant	Date of Publication	Pages, Columns, Lines,	
Examiner Initials*	taminer Cite Kind Code <sup>5</sup> of Cited Document tials* No.1 Office <sup>3</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	of Cited Document Where Relevant Passages or Relevant Figures Appear				
		-						
		-						
-								
		-						

Examiner Signature	Date Considered	
		·

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.